



## **Carolon Investment Funds plc Announces Regulatory Authorisation and Offer of Shares in the Red Rocks Global Private Equity UCITS Fund**

DUBLIN, Ireland (Business Wire) – 12 March 2015 – Carolon Investment Funds plc (“Carolon Investment”) announces receipt of authorisation by the Central Bank of Ireland to operate as an undertaking for collective investment in transferable securities (“UCITS”) and the approval of Carolon Investment’s first sub-fund, the Red Rocks Global Private Equity UCITS Fund (the “Fund”). The Fund, which is expected to launch on or about 16 April 2015, will have an investment strategy focused on listed private equity. Red Rocks Capital LLC (“Red Rocks”) is the Fund’s Investment Manager.

Carolon Investment is structured as an open-ended umbrella investment company with variable capital incorporated under Irish company law. Carolon Capital Ltd (“Carolon”) is the Promoter to Carolon Investment and is the Fund’s Distributor.

Shares in the Fund will be offered from 9:00am on 13 March 2015 to 5:00pm on 15 April 2015 (the “Initial Offer Period”) at the initial price of \$10 per share, £10 per share or €10 per share based upon the denomination of the relevant share class. The offer is subject to acceptance of applications for shares by Carolon Investment and shares will be issued after expiration of the Initial Offer Period. The Initial Offer Period may be shortened or extended by the Directors of Carolon Investment.

The Prospectus, Supplement for the Fund, application form, key investor information documents as well as an investor presentation (together the “Documents”) can be found at [www.caroloncapital.com](http://www.caroloncapital.com). In addition, copies of the Documents can be obtained by contacting Carolon.

### **ABOUT CAROLON CAPITAL LTD**

Carolon Capital is a specialist advisory firm focused on offering customized support in the areas of fund distribution, investor relations and marketing. Established in 2014 by founders Roger Brookes and Tim Smith, Carolon specializes in providing small to mid-size businesses and asset managers the support they need to build, maintain and strengthen their brands. Carolon is registered under the Financial Services (Jersey) Law 1998 and regulated by the Jersey Financial Services Commission in the conduct of Funds Service Business.

### **ABOUT RED ROCKS CAPITAL LLC**

Red Rocks Capital is an asset management firm specializing in listed private equity securities. Established in 2003 by founders and Co-Portfolio Managers Adam Goldman and Mark Sunderhuse, Red Rocks was one of the first companies to offer access to private equity through a liquid mutual fund structure. It is currently the largest asset management firm focused exclusively on listed private equity, with approximately \$1.4 billion in assets under management for advisor-sold mutual funds and variable annuities for institutions and investors. Red Rocks is a Registered Investment Advisor and is regulated by the U.S. Securities and Exchange Commission.

## **CONTACT INFORMATION:**

**Roger Brookes**

**Carolon Capital Ltd**

Global Head of Sales & Marketing

Managing Director

[roger@caroloncapital.com](mailto:roger@caroloncapital.com)

T +44 (0)203 617 1220

M +44 (0)7810 640 183

[www.caroloncapital.com](http://www.caroloncapital.com)

## **Legal Disclaimers**

*This press release is not an offer to sell, or a solicitation of an offer to buy, securities in the United States, Ireland, Jersey, Channel Islands or elsewhere. It does not constitute an offer or solicitation in any jurisdiction where it is unlawful or where the person making the offer or solicitation is not qualified to do so or the recipient may not lawfully receive such offer or solicitation. This press release does not constitute or form part of any offer or invitation to issue, or any solicitation of any offer to subscribe for or to commit to subscribe for, any shares nor shall it or any part of it form the basis of, or be relied upon in connection with, any contract therefor. Carolon Investment does not intend to register any offering in the United States or to conduct a public offering of any securities in the United States. Carolon Investment is authorised by the Central Bank of Ireland.*

*The contents of the Documents have not been approved by any person who is authorised by the FCA. The Documents and their contents are confidential and may not be reproduced, redistributed or passed on, directly or indirectly, to any other person or published, in whole or in part, for any purpose and are intended for distribution in the United Kingdom only to: (i) persons authorised under FSMA and other categories of "investment professional" defined in accordance with article 19 of FSMA (Financial Promotion) Order 2005 (the "Order"); and (ii) high value entities as referred to in article 49(2)(a) to (c) of the Order (or individuals in their capacities as directors, officers or employees of such entities) or to those persons to whom it can otherwise lawfully be distributed (all such persons together being referred to as "relevant persons"). The Documents must not be acted upon by persons who are not relevant persons. Any investment or investment activity to which the Documents relate is available only to relevant persons and will be engaged in only with relevant persons. Any recipient of the Documents who is not a relevant person should return them to Carolon immediately.*

*The information in this press release does not constitute an aid for decision-making in relation to financial, legal, tax or other matters, nor should any investment or other decision be made on the basis of this information alone. It is up to potential investors to obtain comprehensive information and appropriate advice in their home country, country of residence or country of domicile about the applicable legal requirements and any tax consequences, foreign currency restriction or foreign exchange controls and any other aspects that are of relevance prior to any decision to subscribe to, purchase, exchange or redeem such investments, or enter into any other transactions related to this investment.*